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Date of Deposit

Joseph F. Hetz, Esq. - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

Signature



Case No. 8285/414

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

ROSENBERG

Serial No: 09/772,379

Filed: January 29, 2001

For: INTELLIGENT ROAMING  
METHOD FOR ENABLING A  
MOBILE STATION TO SELECT A  
PREFERRED NEUTRAL SERVICE  
PROVIDER WITHIN A  
COMMUNICATION SYSTEM

Examiner:

Group Art Unit: 2681

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

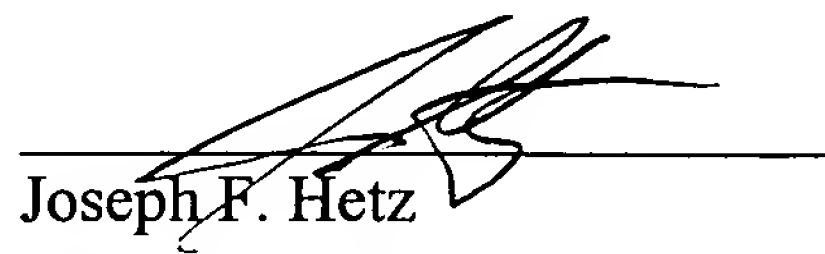
In accordance with the Notice to File Missing Parts of Nonprovisional Application Filing Date Granted dated March 12, 2001, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Declaration for Patent Application (1 page), Power of Attorney (1 page) and Assignment (2 pages) for the above-referenced patent application.

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ 1 Check totaling \$1,072.00 for:
- ☒ Filing Fee of \$710.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$232.00.
- ☒ 1 Check totaling \$40.00 for:
- ☒ Assignment Recordation Fee of \$40.00.
- ☒ Other: Preliminary Amendment (2 pages) with Attached Tab A (2 pages); Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 7 Cited Documents; Postcard Receipt.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: May 8, 2001

  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

TRANSMITTAL LETTER		Case No. 8285/414
Serial No. 09/772,379	Date January 23, 2001	Examiner Not Yet Assigned
Group Art Unit 2681		
Inventor(s) William Rosenberg		
Title of Invention INTELLIGENT ROAMING METHOD FOR ENABLING A MOBILE STATION TO SELECT A PREFERRED NEUTRAL SERVICE PROVIDER WITHIN A COMMUNICATION SYSTEM		

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Notice to File Missing Parts of Nonprovisional Application (2 pages); Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate); Fully executed Declaration for Patent Application (1 page); Power of Attorney (1 page); Assignment (2 pages); Assignment Recordation Cover Sheet (1 page); Check-\$40.00 for Assignment Recordation Fee; Preliminary Amendment (2 pages) with Attached Tab A (2 pages); Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 7 Cited References; Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	\$
Indep.		Minus			x 40 =		x \$80 =	
First Presentation of Multiple Dep. Claim					+ \$135 =		+ \$270 =	
					Total add'l fee	\$	total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,072.00 to cover the filing fee of \$710.00; and the surcharge fee of \$130.00 and the additional claims fee of \$232.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

\_\_\_\_\_  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
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CHICAGO, ILLINOIS 60610  
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Date: May 8, 2001 Signature: \_\_\_\_\_



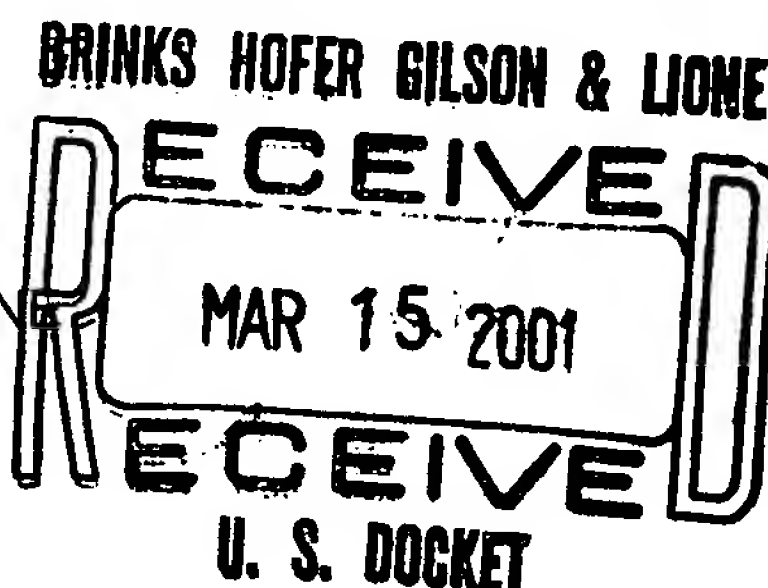
UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,379	01/29/2001	William Rosenberg	8285/414

Joseph F. Hetz  
BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610



CONFIRMATION NO. 1174

FORMALITIES LETTER



Date Mailed: 03/12/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

05/14/2001 HMOHAMM1 00000001 09772379

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	72.00 OP
04 FC:102	160.00 OP

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$232.
  - \$72 for 4 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1072.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An Abstract not to exceed 150 words in length, commencing on a separate sheet (37 CFR 1.72(b)).

*A copy of this notice **MUST** be returned with the reply.*

✓

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE